

1648

Docket N . 43016-A-PCT-US/JPW/SHS

In re application of Philip O. Livingston and Friedhelm Helling

Serial No.: 08/196,154

Group Unit: 1645

Filed: November 16, 1995

Examiner: P. Duffy

For: GANGLIOSIDE-KLH CONJUGATE VACCINES WITH QS-21

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

April 6, 2000

S I R:

Transmitted herewith is an amendment to the above-identified application.

- ☒ Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.
- ☐ a verified statement to establish small entity status under 37 C.F.R. § 1.9 and § 1.27 is enclosed.
- ☐ No additional fee is required.

The filing fee is calculated as follows:

	NUMBER AFTER AMEND- MENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXTRA CLAIMS PRESENTED	RATE FEE			
				SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	27	* 28	*** 0	x 9	18	= 0	0
Indepen- dent Claims	4	** 12	*** 0	x 39	78	= 0	0
Multiple Dependent Claims(s) Presented <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No For First Time:				130	260	130	0
				TOTAL ADDITIONAL \$ 130.00 FEE			

- \*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.
- \*\*If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.
- \*\*\*If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than "0", write "0" in the space.

Applicants: Philip O. Livingston and Friedhelm Helling  
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Amendment Transmittal Letter  
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The "HIGHEST NUMBER PREVIOUSLY PAID FOR" (Total or Independent) is the highest of the "NUMBER AFTER AMENDMENT" in any prior amendment or the number of claims as originally filed.

\_\_\_\_ Please charge Deposit Account No. \_\_\_\_\_  
in the amount of \$ \_\_\_\_\_.

X A check in the amount of \$ 565.00 is enclosed.

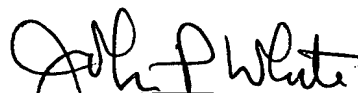
X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-3125. Three copies of this sheet are enclosed.

X Any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims.

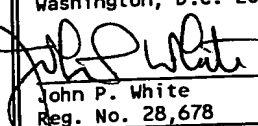
X Any patent application processing fees under 37 C.F.R. §1.17.

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Respectfully submitted,



John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham, LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
 John P. White Reg. No. 28,678	<u>4/6/00</u> Date



Dkt. 43016-A-PCT-US/JPW/SHS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2/E 4/15/00  
Gray

Applicants : Philip O. Livingston and Friedhelm Helling  
U.S. Serial No.: 08/196,154 Group Unit: 1645  
Filed : November 16, 1995 Examiner: P. Duffy  
For : GANGLIOSIDE-KLH CONJUGATE VACCINES WITH QS-21

1185 Avenue of the Americas  
New York, New York 10036  
April 6, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**AMENDMENT IN RESPONSE TO OCTOBER 6, 1999 OFFICE  
ACTION AND PETITION FOR A THREE-MONTH EXTENSION OF TIME**

This Amendment is submitted in response to an October 6, 1999 Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the October 6, 1999 Office Action was originally due January 6, 2000. Applicants hereby petition for a three month extension of time. Applicants have previously established small entity status. The required fee for a three month extension of time for a small entity is \$435.00 and a check which includes this amount is enclosed. Therefore, a response is now due April 6, 2000. Accordingly, this Amendment is being timely filed.

Please amend the subject application as follows:

In the claims:

Please cancel claims 69-96 without prejudice or disclaimer to applicants right to pursue the subject matter of these claims in a later-filed application and add new claims 97-115 as follow

04/12/2000 HSH/DARR 00000051 08/196154  
136.00  
435.00  
01 FC:204  
02 FC:217